



| APPLICATION ELEMENTS | | ACCOUNTING ELEMENTS | |
|---|--|--|-----------------------------|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form w/PTO (PTO-2038) | | 9. <input checked="" type="checkbox"/> Assignment cover sheet and document(s) | 22387 U.S. PTO 10/771092 |
| 2. <input checked="" type="checkbox"/> Applicant Claims Small Entity Status | | 10. <input checked="" type="checkbox"/> Power of Attorney by Assignee <input checked="" type="checkbox"/> with CFR 3.73(b) statement | |
| 3. <input checked="" type="checkbox"/> Specification including description, claims, and abstract Total Pages: <input checked="" type="checkbox"/> 33 Title Page <input checked="" type="checkbox"/> 1 | | 11. <input type="checkbox"/> English Translation Document | |
| 4. <input checked="" type="checkbox"/> Drawing(s) Total Sheets: <input checked="" type="checkbox"/> 5 | | 12. <input type="checkbox"/> IDS/PTO-1449 <input type="checkbox"/> with copies of cited references | |
| 5. <input checked="" type="checkbox"/> Declaration and Power of Attorney. Total Pages: <input checked="" type="checkbox"/> 1 a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application 1.63(d) (complete Box 17 and note Box 5 below) i. <input type="checkbox"/> Signed statement deleting inventors named in the prior application, see CFR 1.63(d)(2) and 1.33(b) | | 13. <input type="checkbox"/> Preliminary Amendment | |
| 6. <input type="checkbox"/> Incorporation by Reference (if 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference. | | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) | |
| 7. <input type="checkbox"/> Microfiche Computer Program (Appendix) | | 15. <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Statement filed in prior application Status still proper and desired | |
| 8. <input type="checkbox"/> Nucleotide/Amino Acid Sequence Submission (all the following are necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies | | 16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) | |
| | | 17. <input checked="" type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent | |
| | | 18. <input type="checkbox"/> Other: | |
| 19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> continuation <input type="checkbox"/> divisional <input checked="" type="checkbox"/> CIP ...of prior application No.: [10/443,456] | | | |

| 20. CORRESPONDENCE ADDRESS | | | | | | |
|----------------------------|----------------------------------|--|-----------|--|----------|----------------|
| NAME | CUSTOMER NO.: 27652 | | | | | |
| | [X] BAR CODE LABEL | | |  ATTACH BAR CODE LABEL HERE 27652 PATENT & TRADEMARK OFFICE | | |
| | JOSHUA D. ISENBERG JDI PATENT | | | | | |
| ADDRESS | 204 CASTRO LANE | | | | | |
| CITY | FREMONT | | STATE | CA | ZIP CODE | 94539 |
| COUNTRY | USA | | TELEPHONE | (510) 896-8328 | FAX | (510) 360-9656 |

| | |
|---|--|
| Certificate of Mailing by "Express Mail" | |
| I hereby certify that I am mailing this correspondence on the date indicated below to MAIL STOP PATENT APPLICATION, COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 using the "Express Mail Post Office to Address" service of the United States Postal Service under 37 CFR 1.10. | |
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FEE TRANSMITTAL

| | |
|-----------------------|---|
| Application Number: | Not Yet Assigned |
| Filing Date: | Filed Herewith |
| First Named Inventor: | Brian M. Sager et al. |
| Title of Invention: | PHOTOVOLTAIC DEVICES FABRICATED FROM NANOSTRUCTURED TEMPLATE |
| Group Art Unit: | Not yet assigned |
| Examiner: | Not yet assigned |
| Attorney Docket No.: | NSL-022 |

Fee Calculation:for ☐ Large Entity / ☒ Small Entity.**Basic Billing Fee:**

☒ Utility Patent Application: \$770 / \$385 \$ 385
☐ Provisional Patent Application: \$160 / \$80 \$

Claims:

☒ Number of Total Claims Over 20: [25] x \$18 / \$9 = \$ 225
☐ No. of Independent Claims Over 3: [] x \$86 \$43 = \$

Other Fees:

☐ Extension of time, 1 month \$110 / \$55 \$
☐ Extension of time, 2 months \$420 / \$210 \$
☐ Extension of time, 3 months \$950 / \$475 \$
☐ Extension of time, 4 months \$1480 / \$740 \$
☐ Missing Parts Surcharge (Regular Application) \$130 / \$65 \$
☐ Missing Parts Surcharge (Provisional Application) \$50 / \$25 \$
☒ Recordation of Assignment Document \$40 \$ 40
☐ Issue Fee \$1330 / \$665 \$
☐ Printed Patent; Number of Copies: [] x \$3 = \$
TOTAL PAYMENT: \$ 650

Method of Payment:

☒ Payment Enclosed
Credit Card Payment Form \$650 (filing and recordation fees)

Signature of Attorney

Joshua D. Isenberg
Joshua D. Isenberg, Reg. No. 41,088

Feb. 2, 2004
Date

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| | |
|--|--|
| REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i) | Attorney Docket No.: NSL-022 |
| | First Named Inventor BRIAN M. SAGER ET AL |
| | Title PHOTOVOLTAIC DEVICES FABRICATED FROM NANOSTRUCTURED TEMPLATE |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

| | |
|-----------------------------|--|
| <u>Feb. 2, 2004</u> Date | <u></u> Signature |
| | <u>Joshua D. Isenberg</u> <u>41,088</u> Typed or Printed Name Registration No. |

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**